Transformers

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My Research



Quick Poll

- ☐ How familiar are you with transformers?
- What is the key building block of transformers?
- Have you used it in your projects?
- ☐ Have you implemented it yourself?

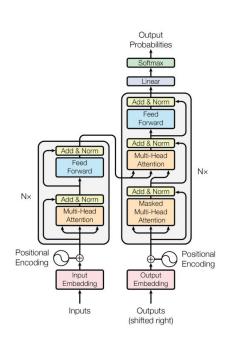
Plan

Session I: Transformers and its nuts and bolts

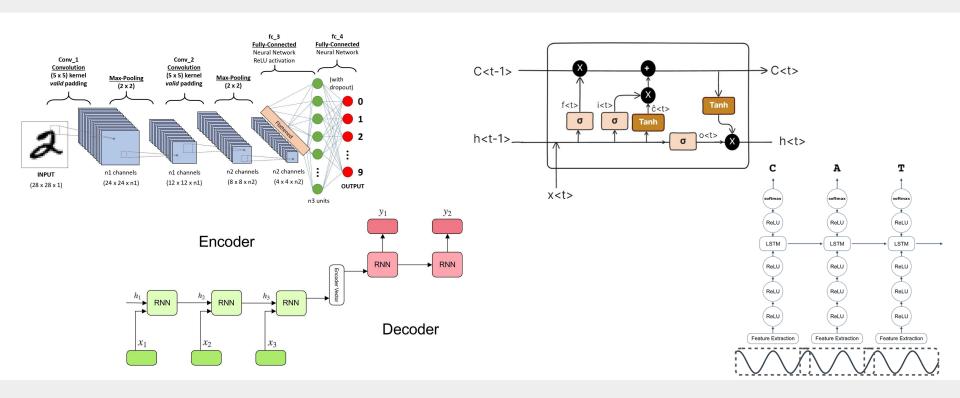
Attention Mechanism, Architecture Overview

Session II: Application of Transformers

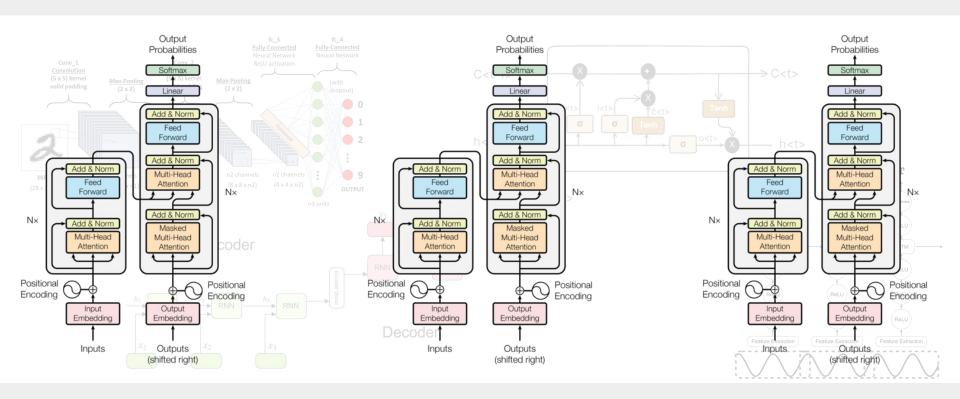
Pre-trained Models, Multi-task and Multimodal models



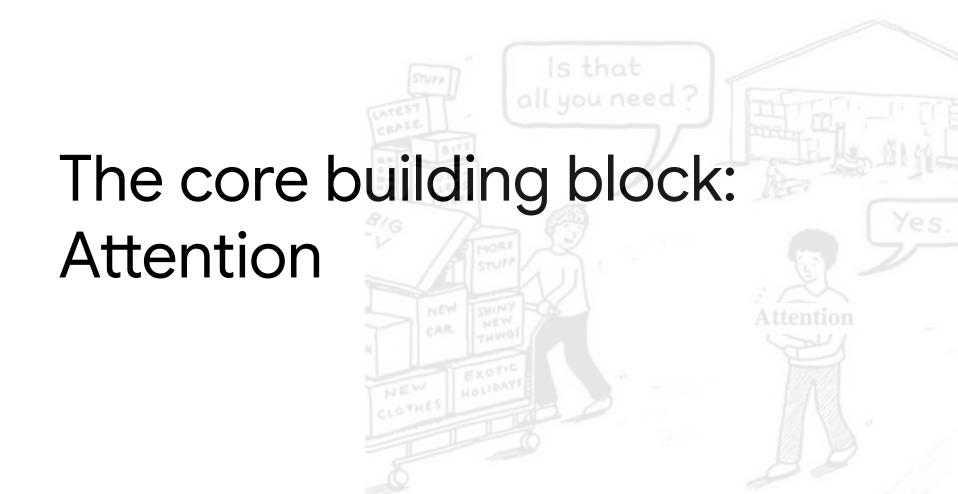
Al before Transformers - An architecture per task



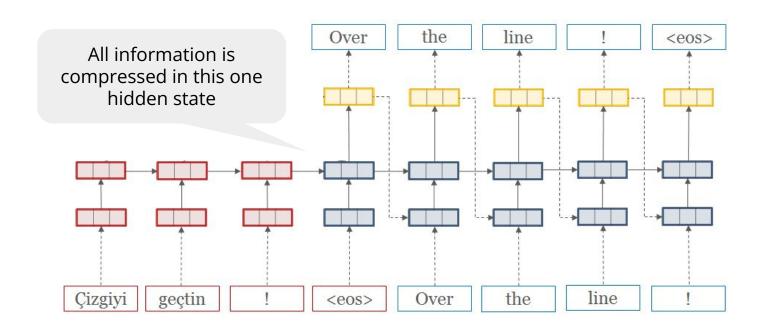
NLP after Transformers - One type fits all**



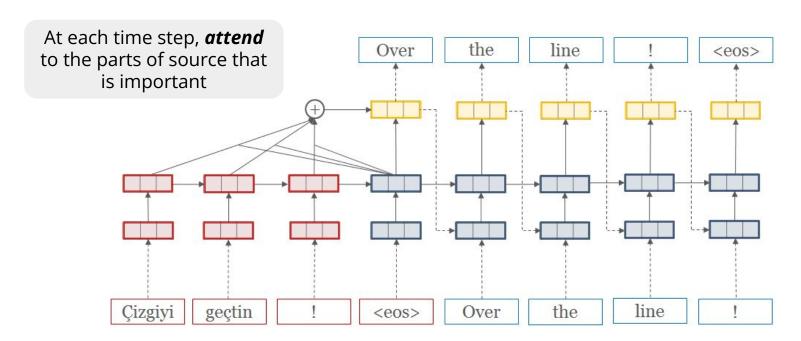
Slide Inspiration: Lucas Beyer



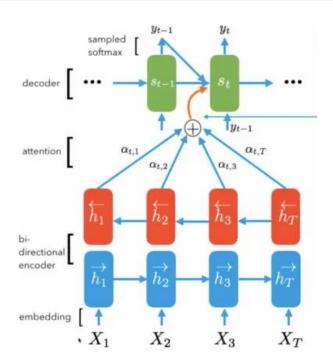
Neural Machine Translation by Jointly Learning to Align and Translate (Bahdanau et al., 2015)



Neural Machine Translation by Jointly Learning to Align and Translate (Bahdanau et al., 2015)



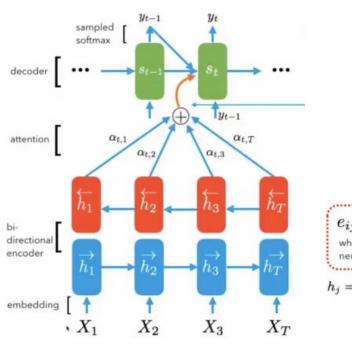
Neural Machine Translation by Jointly Learning to Align and Translate (Bahdanau et al., 2015)



$$h_j = [\rightarrow h_j; \leftarrow h_j]_{concat}$$

Hidden representation of all input tokens H: [h₁, h₂, h₃, h₄]

Neural Machine Translation by Jointly Learning to Align and Translate (Bahdanau et al., 2015)

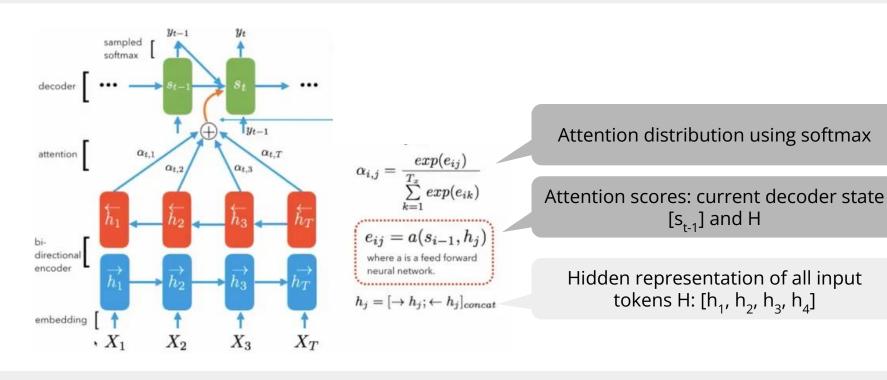


 $e_{ij} = a(s_{i-1},h_j)$ where a is a feed forward neural network. $h_i = [o h_i; \leftarrow h_i]_{concat}$

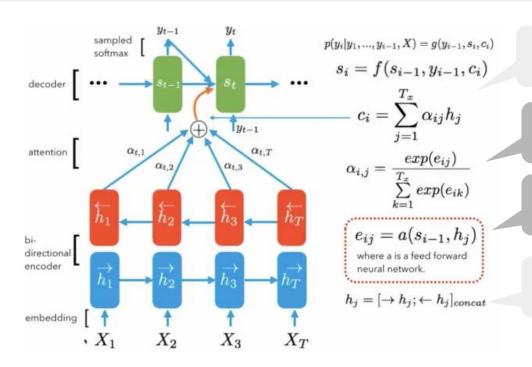
Attention scores: current decoder state $[s_{t-1}]$ and H

Hidden representation of all input tokens H: $[h_1, h_2, h_3, h_4]$

Neural Machine Translation by Jointly Learning to Align and Translate (Bahdanau et al., 2015)



Neural Machine Translation by Jointly Learning to Align and Translate (Bahdanau et al., 2015)



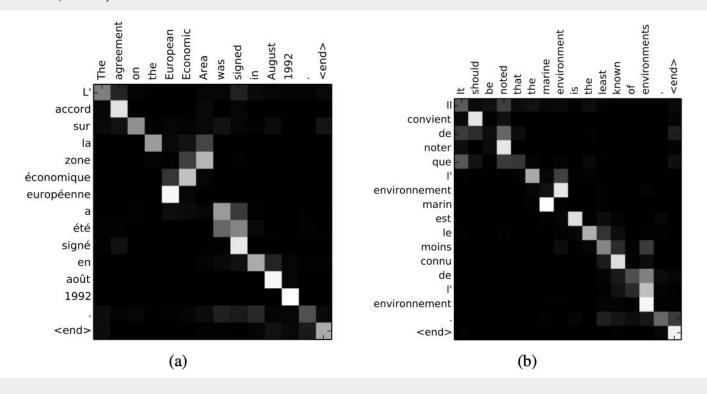
Updated information: weighted representation of hidden states

Attention distribution using softmax

Attention scores: current decoder state $[s_{t-1}]$ and H

Hidden representation of all input tokens H: [h₁, h₂, h₃, h₄]

Neural Machine Translation by Jointly Learning to Align and Translate (Bahdanau et al., 2015)



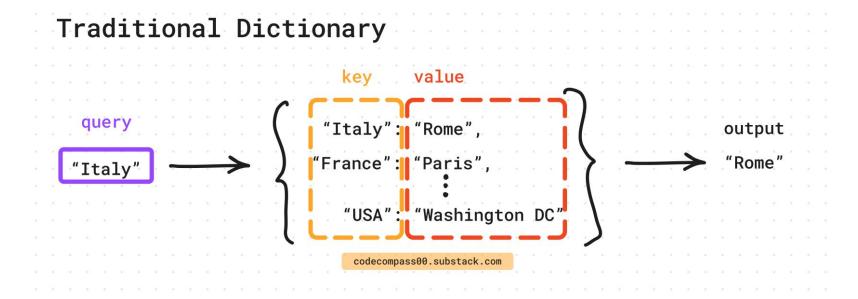
Attention is all you need

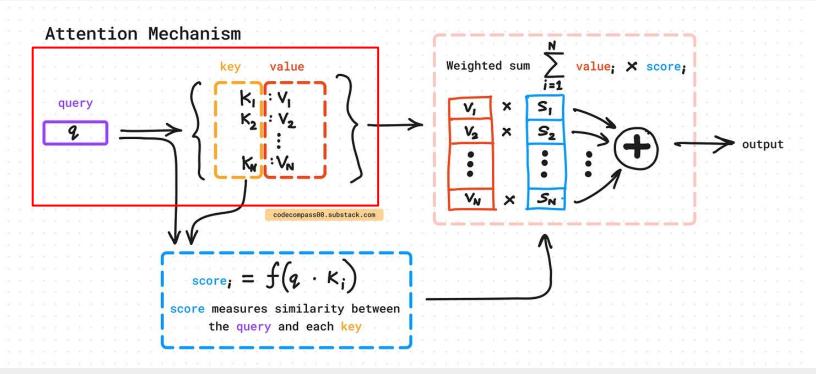
A Vaswani, N Shazeer, N Parmar... - Advances in neural ..., 2017 - proceedings.neurips.cc

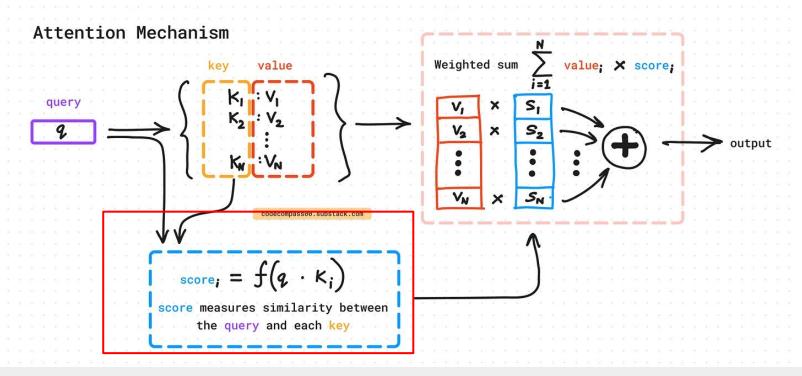
... to attend to all positions in the decoder up to and including that position. We need to prevent

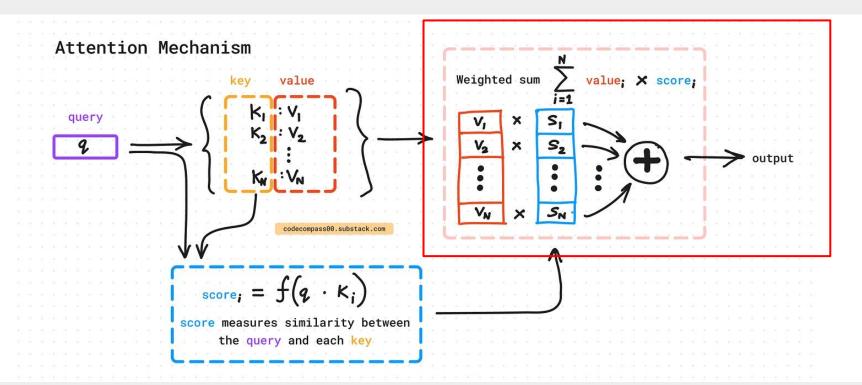
... We implement this inside of scaled dot-product attention by masking out (setting to -∞) ...

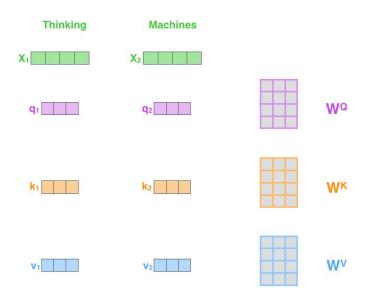
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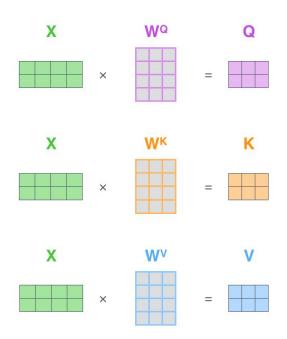


keys and values - derived from the same input x

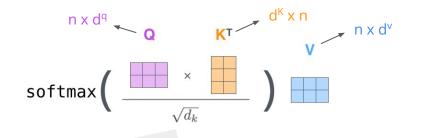
$$k = W_k \cdot X \quad V = W_v \cdot X$$

queries can be from same or different

$$q = W_q \cdot x \text{ or } W_q \cdot y$$

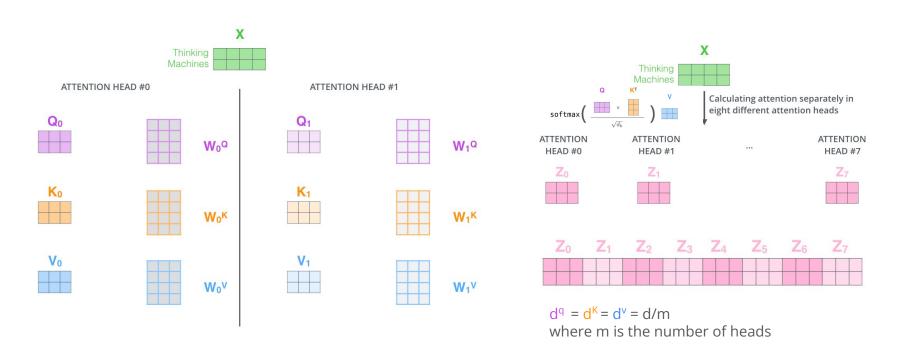


In practice, we have several queries $q_{[1:m]}$

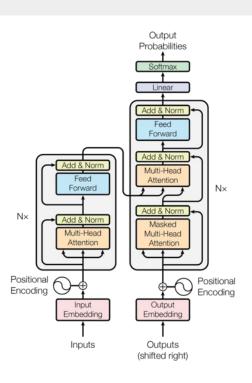


prevents dot product to become too large

Multi-head attention

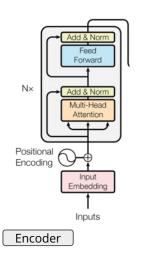


Let's now try to understand the architecture

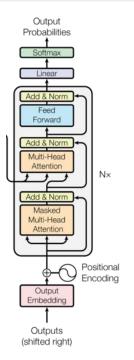


The Transformer Architecture

produces representation of x that captures the meaning in context.



The Transformer Architecture

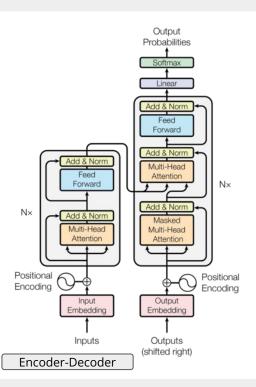


generates tokens step-by-step, $p(y_i|x, y_{i})$, predicting the next token based on:

- Encoder output (for tasks like translation)
- Previously generated tokens (via self-attention)

Decoder

The Transformer Architecture



The Annotated Transformer

Attention is All You Need

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Illia Polosukhin* † illia.polosukhin@gmail.com

- v2022: Austin Huang, Suraj Subramanian, Jonathan Sum, Khalid Almubarak, and Stella Biderman.
- Original: Sasha Rush.

Input Tokenization

The **monkey** ate the banana because **it** was hungry.

[_The] [_mon] [_key] ...

Convert to indices

[723 619 ..]

Encode each entry to a d-dimension vector using the embedding look-up table

Positional Encoding Input Embedding Inputs

Slide Inspiration: Lucas Beyer

Positional Encoding - Order matters in language

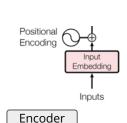
The **monkey** ate the banana

VS

The **banana** ate the monkey

[012...]

Encode into d-dimension vector and shift input embeddings by this vector



Slide Inspiration: Lucas Beyer

Relative Positional Embeddings (Shaw et al., 2018)

Capture the relative distance between key and value pairs

$$egin{cases} q_i = x_i W^Q \ k_j = x_j W^K + a_{j-i}^K \ v_j = x_j W^V + a_{j-i}^V \end{cases}$$
 $+ a_{j-i}^K$ softmax $((x_i W^Q)(x_j W^K + a_{i-i}^K)^T)$

$$z_i = \sum_{j=1}^n \alpha_{ij} (x_j W^V + a_{ij}^V)$$

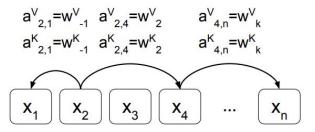
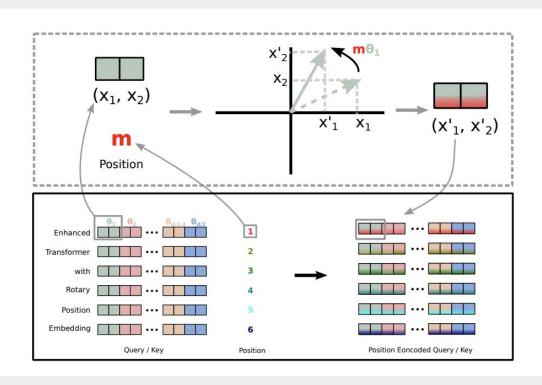


Figure 1: Example edges representing relative positions, or the distance between elements. We learn representations for each relative position within a clipping distance k. The figure assumes 2 <= k <= n-4. Note that not all edges are shown.

Rotatory Positional Embeddings (Su et al., 2021)



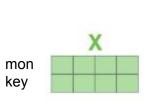
Uses both absolute and relative information

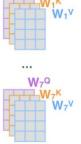
$$f_q(\boldsymbol{x}_m, m) = (\boldsymbol{W}_q \boldsymbol{x}_m) e^{im\theta}$$

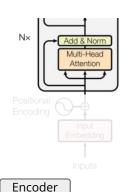
$$f_k(\boldsymbol{x}_n, n) = (\boldsymbol{W}_k \boldsymbol{x}_n) e^{in\theta}$$

$$g(\boldsymbol{x}_m, \boldsymbol{x}_n, m - n) = \text{Re}[(\boldsymbol{W}_q \boldsymbol{x}_m) (\boldsymbol{W}_k \boldsymbol{x}_n)^* e^{i(m-n)\theta}]$$

Encode each input element as Key, Query and Values







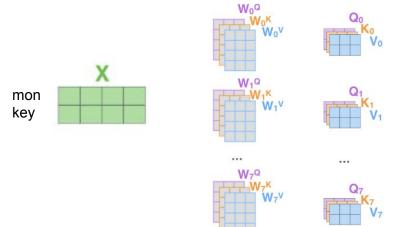
Slide Inspiration: Lucas Beyer

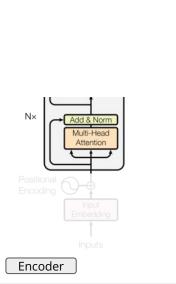
Nx Add & Norm Multi-Head Attention

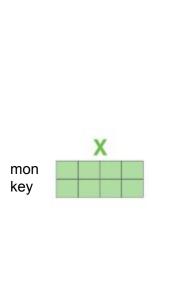
Positional Encoding Input Embedding Inputs

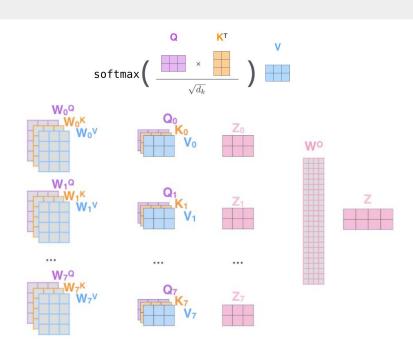
Encoder

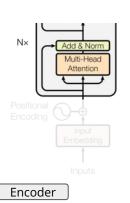
Encode each input element as Key, Query and Values

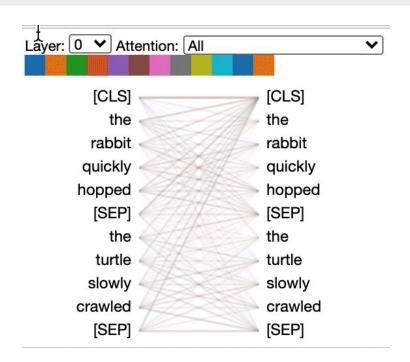




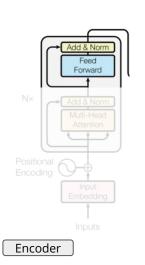




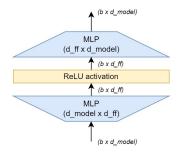




Feed Forward - A simple transformation to each token



This is where most of the work happens..

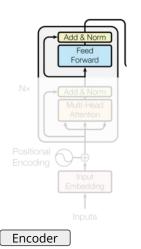


$$FFN(x) = \max(0, xW_1 + b_1)W_2 + b_2$$

$$d ff = 4 \times d$$

Slide Inspiration: Lucas Beyer

Feed Forward - A simple transformation to each token



This is where most of the work happens...

Transformer feed-forward layers are key-value memories

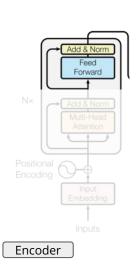
M Geva, R Schuster, J Berant, O Levy - arXiv preprint arXiv:2012.14913, 2020 - arxiv.org

... Feed-forward layers constitute two-thirds of a transformer model's parameters, yet their role in the network remains under-explored. We show that feed-forward layers in transformer...

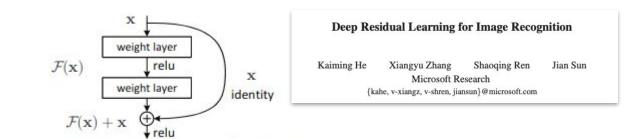
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Slide Inspiration: Lucas Beyer

Add & Norm



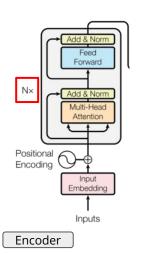
Add: A residual connection (skip connection): Helps gradients flow through deep networks → combats vanishing gradients



Norm: A Layer Normalization which keeps output scale consistent, → speeds up and stabilizes training [Pre- Vs Post- Norm]

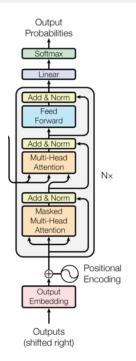
Slide Inspiration: Lucas Beyer

The Encoder: heavily processed version of "input"



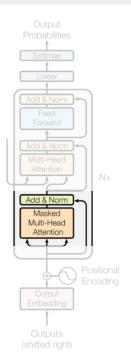
Slide Inspiration: Lucas Beyer

The Decoder



generates tokens step-by-step, predicting the next token probability $p(y_i|x, y_{< i})$ based on:

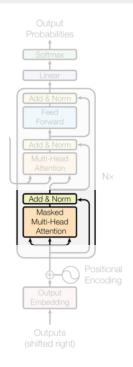
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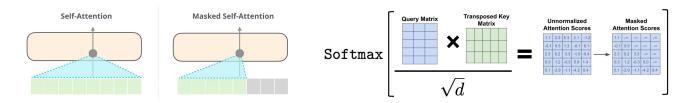
- Previously generated tokens (via masked self-attention)

The Decoder - Masked Multi-head self-attention

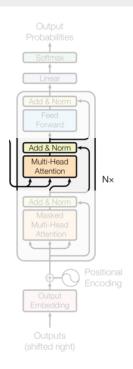


generates tokens step-by-step, predicting the next token probability $p(y_i|x, \mathbf{y}_{< i})$ based on:

- Previously generated tokens



The Decoder



generates tokens step-by-step, predicting the next token probability $p(y_i|x, y_i)$ based on:

- Previously generated tokens (via masked self-attention)
- Encoder output (for tasks like translation)

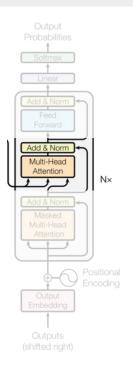
keys and values - derived from the hidden states of the encoder x

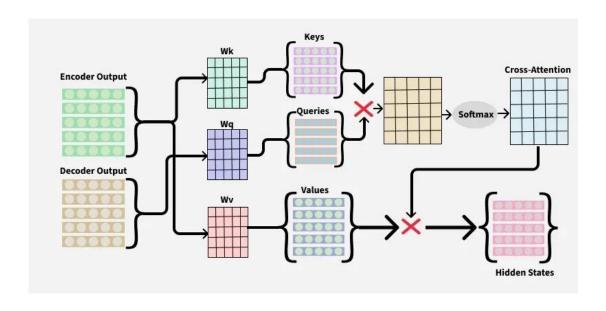
$$k = W_k \cdot h_x \quad v = W_v \cdot h_x$$

queries are from hidden state of the decoder

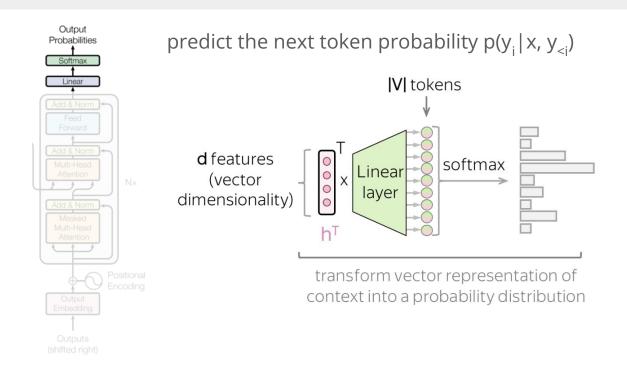
$$q = W_q \cdot h_y$$

The Decoder - Cross Attention

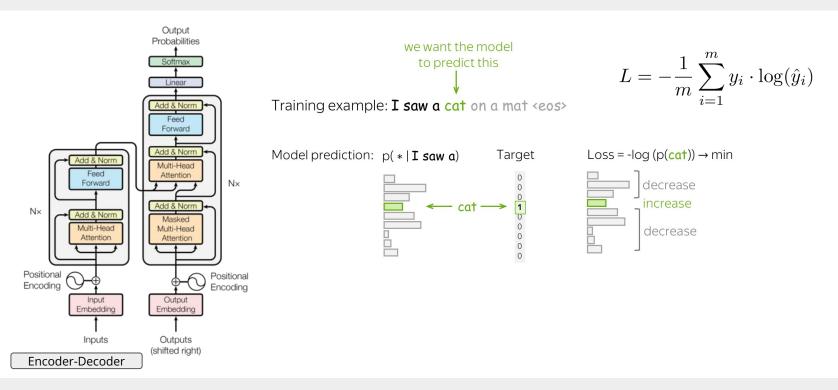




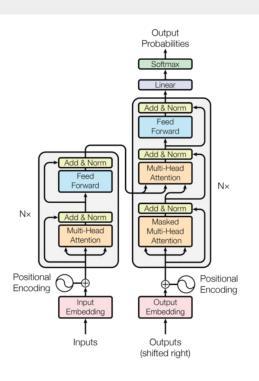
The Decoder

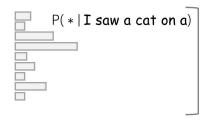


Training with Cross-entropy loss



At generation time - One token at a time

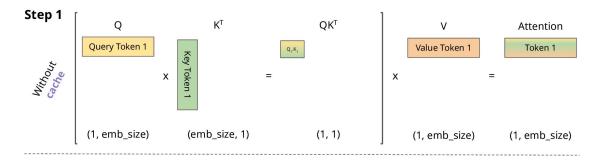




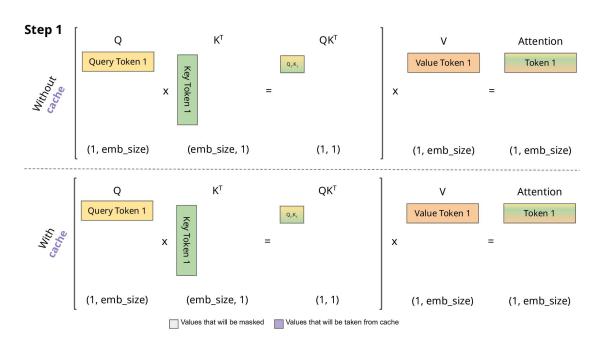
get probability distribution for the next token

$$\hat{y}_t = rg \max_{y \in V} \, P(y \mid y_{< t})$$

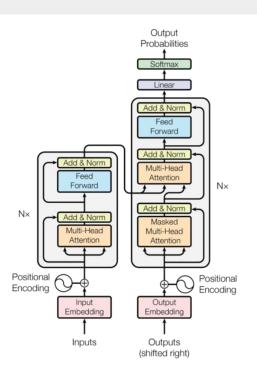
At generation time - One token at a time

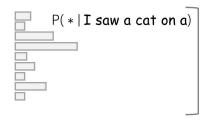


KV cache



At generation time - One token at a time

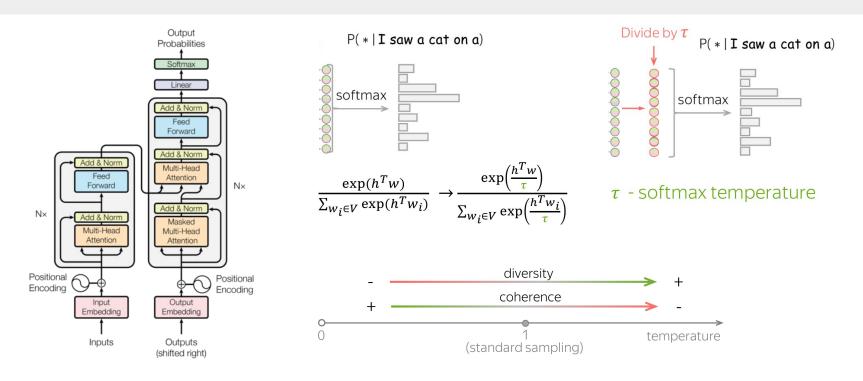




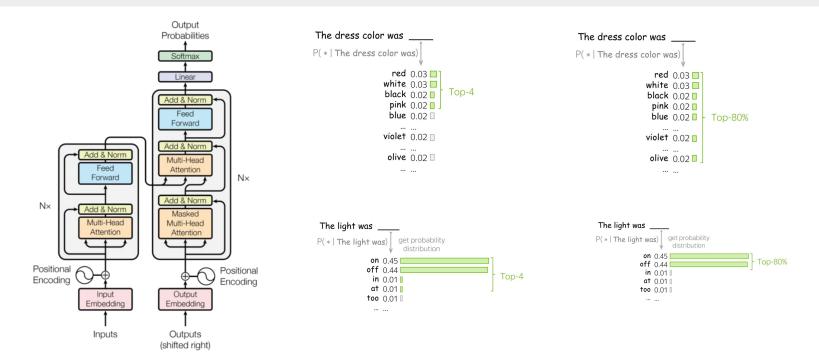
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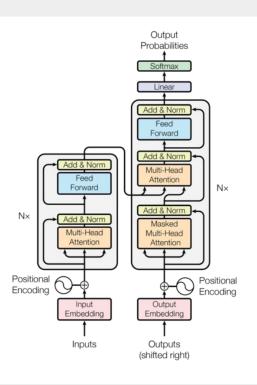
At generation time - One token at a time [Sampling]

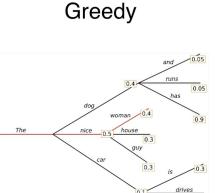


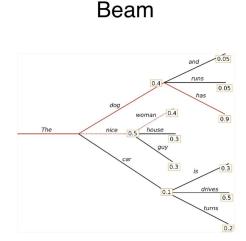
At generation time - One token at a time [Topk, Top-p]



At generation time - Search [Beam]







Transformer outperforms many diverse architecture and is efficient

Table 2: The Transformer achieves better BLEU scores than previous state-of-the-art models on the English-to-German and English-to-French newstest2014 tests at a fraction of the training cost.

Model	BLEU		Training Cost (FLOPs)		
Model	EN-DE	EN-FR	EN-DE	EN-FR	
ByteNet [18]	23.75				
Deep-Att + PosUnk [39]		39.2		$1.0\cdot 10^{20}$	
GNMT + RL [38]	24.6	39.92	$2.3\cdot 10^{19}$	$1.4\cdot 10^{20}$	
ConvS2S [9]	25.16	40.46	$9.6\cdot 10^{18}$	$1.5\cdot 10^{20}$	
MoE [32]	26.03	40.56	$2.0\cdot 10^{19}$	$1.2\cdot 10^{20}$	
Deep-Att + PosUnk Ensemble [39]		40.4		$8.0 \cdot 10^{20}$	
GNMT + RL Ensemble [38]	26.30	41.16	$1.8\cdot 10^{20}$	$1.1\cdot 10^{21}$	
ConvS2S Ensemble [9]	26.36	41.29	$7.7\cdot 10^{19}$	$1.2\cdot 10^{21}$	
Transformer (base model)	27.3	38.1	$3.3 \cdot 10^{18}$ $2.3 \cdot 10^{19}$		
Transformer (big)	28.4	41.8			

Transformer outperforms many diverse architecture and is efficient

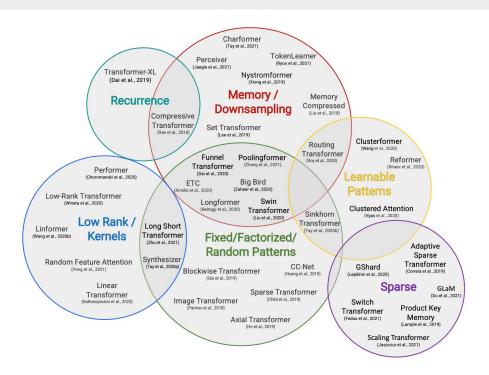
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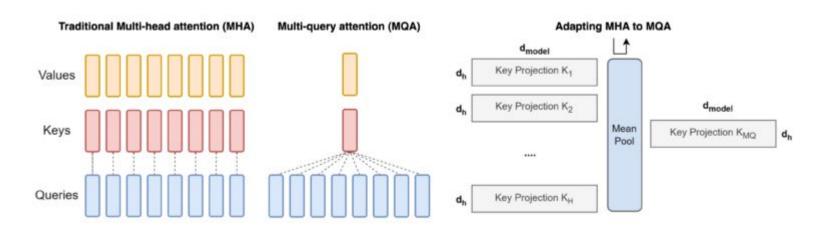
Is it truly efficient?

$$O(nd^2 + n^2d) + O(nd^2)$$

Efficient Transformers

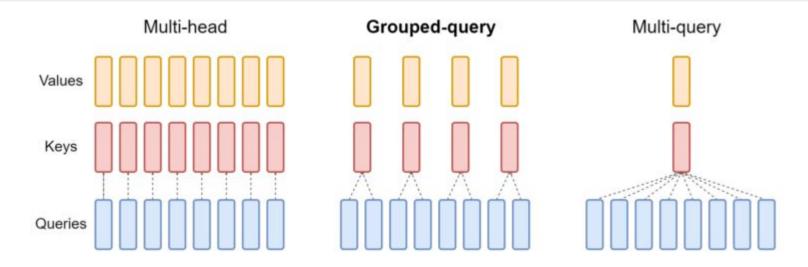


The Inference Bottleneck: The KV Cache Size Introduce: Multi-Query Attention



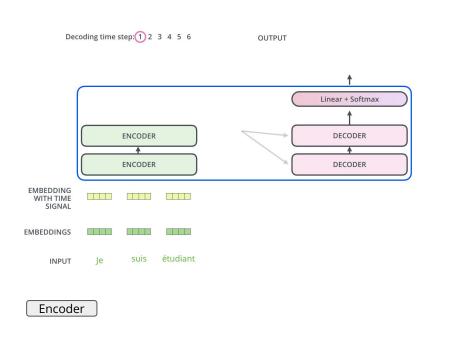
In multi-query attention, the heads for keys and values are averaged so that all query heads share the same key and value head.

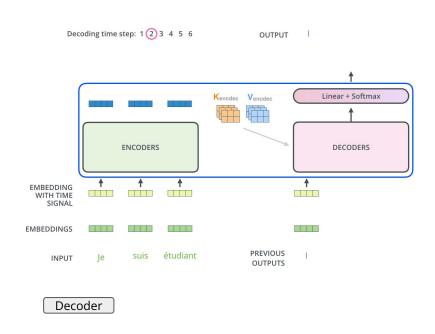
The Inference Bottleneck: The KV Cache Size Introduce: Grouped-Query Attention



In GQA instead of all heads sharing one K/V pair, a few heads share a K/V pair.

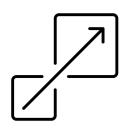
Summary Visualization

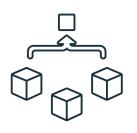




Transformers Applied - II The surge of pretrained models

Change of Paradigm What did transformers enable?











Scalability

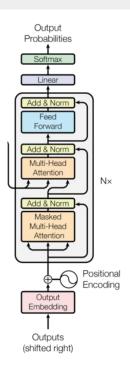
Generalizability

Reasoning

Long range

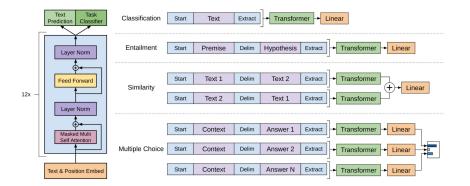
Multimodal

GPT-1: Generative Pre-trained Transformer Architecture



- 117 million parameters
- decoder-only
- trained on the Common Crawl, a massive dataset of web pages with billions of words, and the BookCorpus dataset, a collection of over 11,000 books on a variety of genres.

GPT-1: Discriminative Finetuning



Method	MNLI-m	MNLI-mm	SNLI	SciTail	QNLI	RTE
ESIM + ELMo [44] (5x)	-	-	89.3	-	-	-
CAFE [58] (5x)	80.2	79.0	89.3	-	-	-
Stochastic Answer Network [35] (3x)	80.6	80.1	-	-	-	-
CAFE [58]	78.7	77.9	88.5	83.3		
GenSen [64]	71.4	71.3	-	-	82.3	59.2
Multi-task BiLSTM + Attn [64]	72.2	72.1	-	-	82.1	61.7
Finetuned Transformer LM (ours)	82.1	81.4	89.9	88.3	88.1	56.0



Unsupervised Pre-training Works.

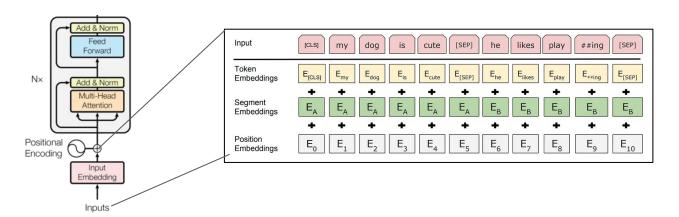


A Single Model Can Generalize.

Enter BERT: Bidirectional Encoder Representations from Transformers

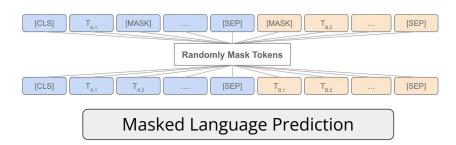
Introduction of deeply "contextualized word embeddings"

Pre-training over a large amount of "text" data

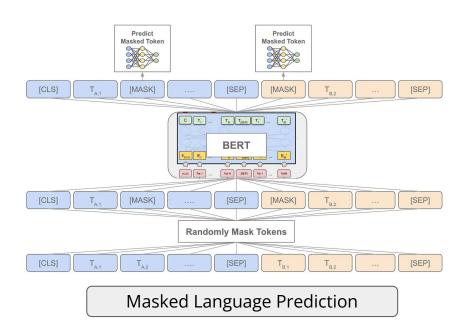




BERT Training



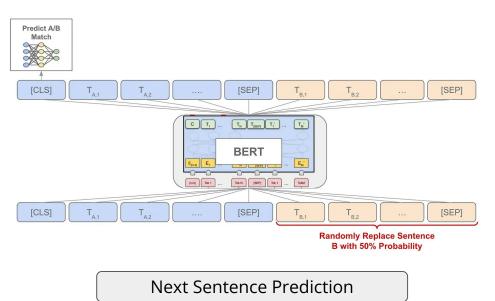
BERT Training: Masked Language Modeling



select 15% of the input tokens and follow the 80/10/10 rule:

- 80% of the time with the "[MASK]" token.
- 10% of the time with a random token from the vocabulary.
- 10% of the time with the original token itself.

BERT Training: Next Sentence prediction

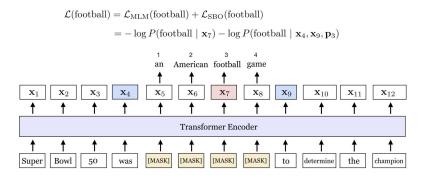


Sample two segments and predict whether B follows A

- 50% of the time sample a text segment of 512 tokens (Yes)
- 50% of time a segment of 256 tokens followed by unrelated text segment of 256 tokens (No)

NSP is unnecessary (Joshi et al., 2019, Liu et al. 2019)

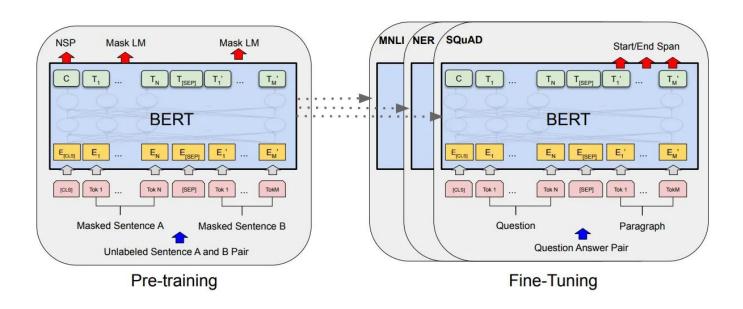
What to mask and how much to mask?

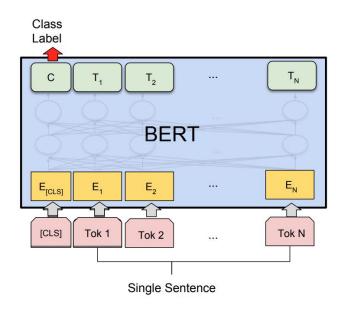


Pre-training				Fine-tuning				
m	Example				PPL	MNLI	QNLI	SQuAD ³
15%	We study high	ing rates	pre-training	language models.	17.7	84.2	90.9	88.0
40%	We study high	rates	pre-	models .	69.4	84.5 ↑0.3	91.6 ↑0.7	89.8 ↑1.8
80%	We high			models	1141.4	80.8 ↓3.4	87.9 ↓3.0	86.2 11.8
	Random initialization				61.5 \$\pm22.7	60.9 ↓30.0	10.8 ↓77.2	

SpanBERT (Joshi et al., 2019)

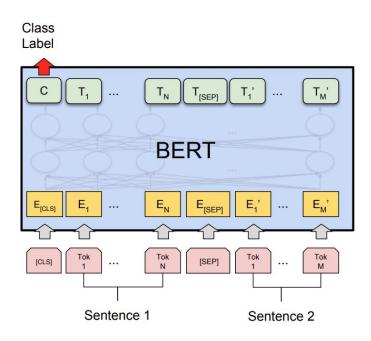
Wettig et al., 2023





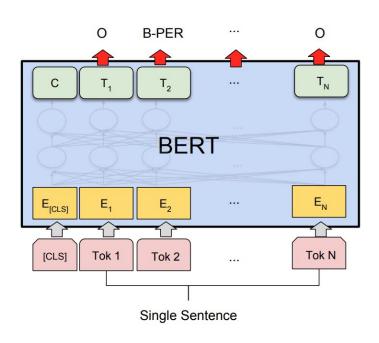
Sentence/Document-Level Tasks

- Use [CLS] token as the summary of the input.
- Pass it to a classifier (d x |C|) for overall prediction.
 E.g., Sentiment Analysis.



🔁 Text Pair Tasks

- Format input as: [CLS] Sentence A [SEP] Sentence B [SEP]
- Use [CLS] token output for final prediction.
 E.g., Natural Language Inference.



Token-Level Tasks

- Use each token's output from BERT
- Apply a classifier on each token
- E.g., Named Entity Recognition.

BERT variants

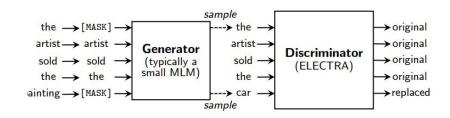
RobertA

- Dynamic masking: recompute masks at each epoch
- use 160GB data instead of 16GB

DistilBERT - distil inform from a "big" teacher model to a "small" student model

ELECTRA

- generator/discriminator framework
- more efficient



BERTology

What Knowledge Does BERT Have? Syntactic, Semantic or World

What can we learn from looking at its attention heads?

What can we learn about training (efficient) BERT?

Anna Rogers

Center for Social Data Science University of Copenhagen arogers@sodas.ku.dk Olga Kovaleva

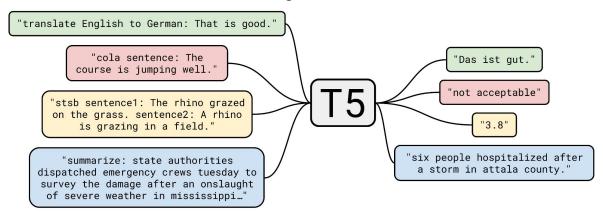
A Primer in BERTology: What We Know About How BERT Works

Dept. of Computer Science University of Massachusetts Lowell okovalev@cs.uml.edu Anna Rumshisky

Dept. of Computer Science University of Massachusetts Lowell arum@cs.uml.edu

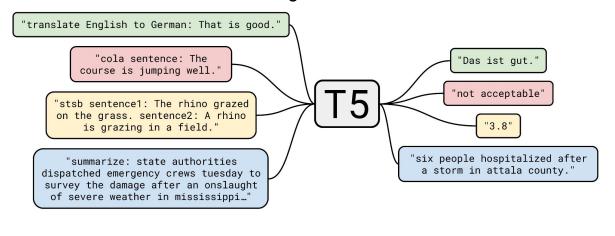
T5 Architecture (Raffel et al., 2019)

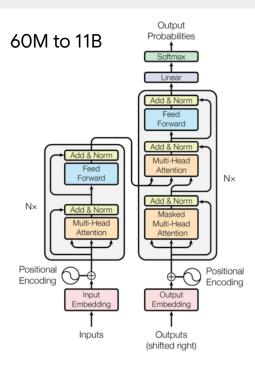
Convert every task — classification, summarization, translation,
 QA — into a text generation task.



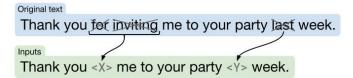
T5 Architecture (Raffel et al., 2019)

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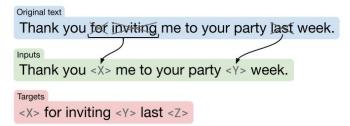
T5 Training (Raffel et al., 2019)



C4

The <u>C4</u> dataset we created for unsupervised pre-training is available in TensorFlow Datasets, but it requires a significant amount of bandwidth for downloading the raw <u>Common Crawl</u> scrapes (~7 TB) and compute for its preparation (~335 CPU-days). We suggest you take advantage of the <u>Apache Beam</u> support in TFDS, which enables distributed preprocessing of the dataset and can be run on <u>Google Cloud Dataflow</u>. With 500 workers, the job should complete in ~16 hours.

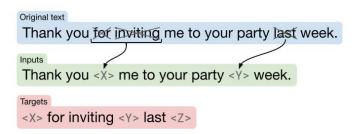
T5 Training (Raffel et al., 2019)



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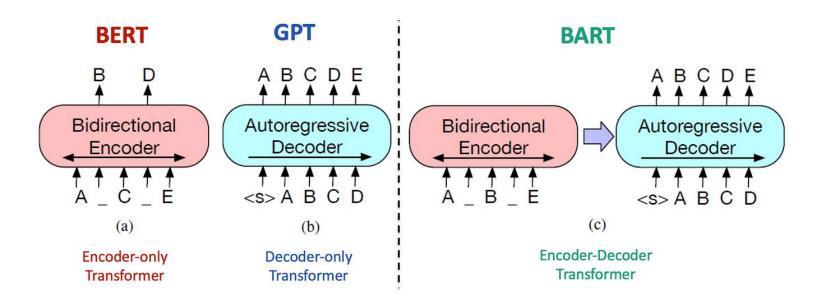
Objective	Inputs	Targets
Prefix language modeling	Thank you for inviting	me to your party last week .
BERT-style Devlin et al. (2018)	Thank you $< M > < m >$ me to your party apple week .	(original text)
Deshuffling	party me for your to . last fun you inviting week Thank	(original text)
MASS-style Song et al. (2019)	Thank you $$ me to your party $$ week .	(original text)
I.i.d. noise, replace spans	Thank you $\langle X \rangle$ me to your party $\langle Y \rangle$ week .	<x> for inviting <y> last <z></z></y></x>
I.i.d. noise, drop tokens	Thank you me to your party week.	for inviting last
Random spans	Thank you $<$ X $>$ to $<$ Y $>$ week .	<pre><x> for inviting me <y> your party last <z></z></y></x></pre>

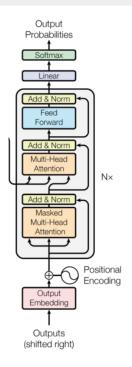
Impact of T5





BART Model (Lewis et al., 2019)





Scale Is All You Need: Model with 175 billion parameters, trained on a broad corpus of web text

General-Purpose Model: One model, many tasks — without task-specific training

Prompt-Based Learning: Shifted NLP from fine-tuning to in-context learning

Zero-shot

The model predicts the answer given only a natural language description of the task. No gradient updates are performed.



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The model predicts the answer given only a natural language description of the task. No gradient updates are performed.



One-shot

In addition to the task description, the model sees a single example of the task. No gradient updates are performed.



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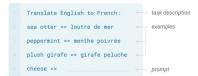
One-shot

In addition to the task description, the model sees a single example of the task. No gradient updates are performed.



Few-shot

In addition to the task description, the model sees a few examples of the task. No gradient updates are performed.



Zero-shot

The model predicts the answer given only a natural language description of the task. No gradient updates are performed.



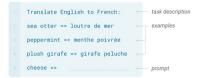
One-shot

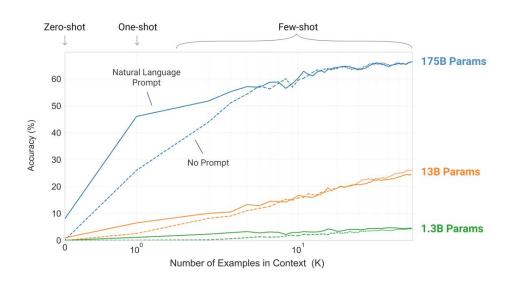
In addition to the task description, the model sees a single example of the task. No gradient updates are performed.



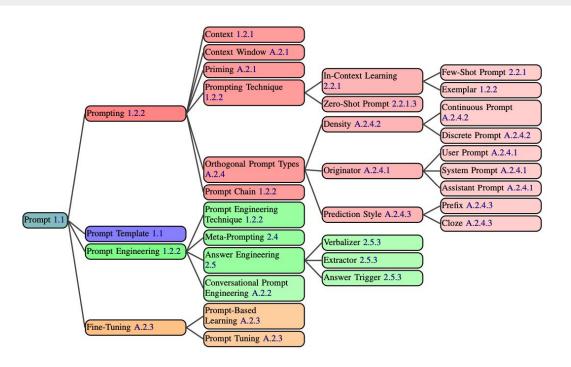
Few-shot

In addition to the task description, the model sees a few examples of the task. No gradient updates are performed.





The Prompt Report: A Systematic Survey of Prompt Engineering Techniques (Schulhoff et al., 2024)



What makes in-context learning work?

→ Task-recognition

The prompt Translate English to French. sea otter => loutre de mer... acts as a query.

→ Task-learning

It then activates the specific neural pathways related to the "translation" skill and applies that learned pattern to your new input (cheese => fromage).

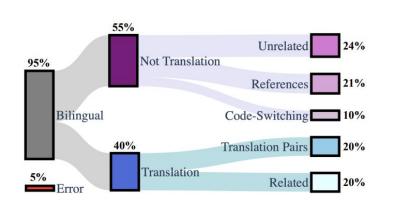
Rethinking the Role of Demonstrations: What Makes In-Context Learning Work?

Sewon Min, Xinxi Lyu, Ari Holtzman, Mikel Artetxe, Mike Lewis, Hannaneh Hajishirzi, Luke Zettlemoyer

What makes in-context learning work?

Searching for Needles in a Haystack: On the Role of Incidental Bilingualism in PaLM's Translation Capability

Eleftheria Briakou, Colin Cherry, George Foster



Findings We find that 0.34% of PaLM's training instances contain at least one translation pair.

Results

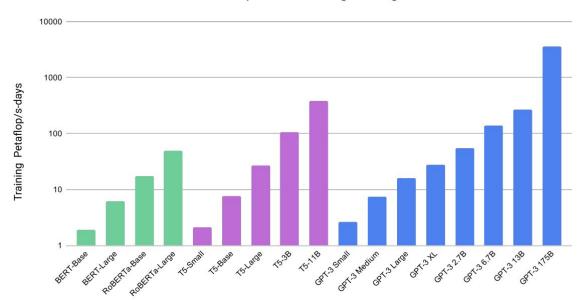
Setting	PTB
SOTA (Zero-Shot)	35.8^{a}
GPT-3 Zero-Shot	20.5

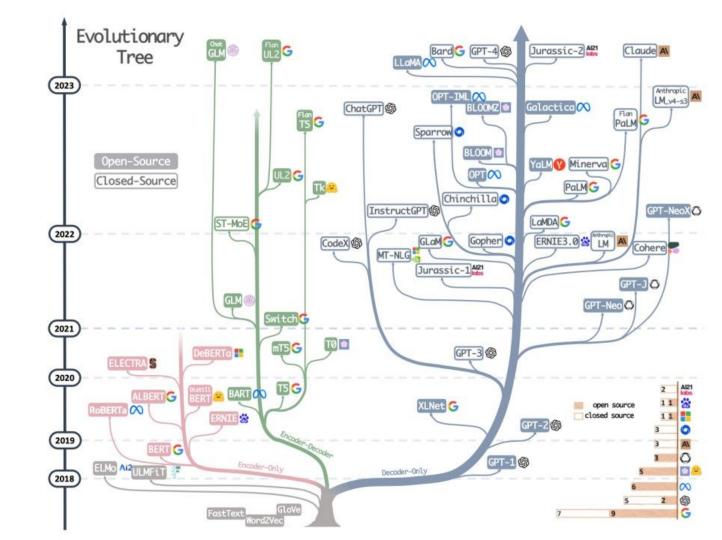
Setting	LAMBADA (acc)	LAMBADA (ppl)	StoryCloze (acc)	HellaSwag (acc)	
SOTA	68.0^{a}	8.63^{b}	91.8 ^c	85.6 ^d	
GPT-3 Zero-Shot	76.2	3.00	83.2	78.9	
GPT-3 One-Shot	72.5	3.35	84.7	78.1	
GPT-3 Few-Shot	86.4	1.92	87.7	79.3	

Setting	NaturalQS	WebQS	TriviaQA
RAG (Fine-tuned, Open-Domain) [LPP+20]	44.5	45.5	68.0
T5-11B+SSM (Fine-tuned, Closed-Book) [RRS20]	36.6	44.7	60.5
T5-11B (Fine-tuned, Closed-Book)	34.5	37.4	50.1
GPT-3 Zero-Shot	14.6	14.4	64.3
GPT-3 One-Shot	23.0	25.3	68.0
GPT-3 Few-Shot	29.9	41.5	71.2

Computational Cost







The ERA of Pretrained Models

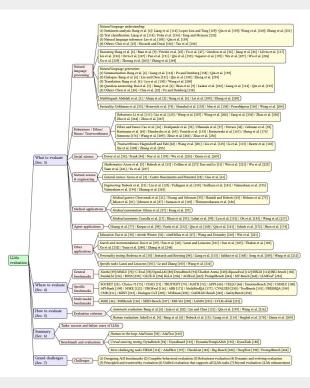
Evaluation of LLMs & The surge of "Benchmarks"



A collection of papers and resources related to evaluations on large language models.

Yupeng Chang*1 Xu Wang*1 Jindong Wang*2 Yuan Wu*1 Kaijie Zhu3 Hao Chen4 Linyi Yang5 Xiaoyuan Yi² Cunxiang Wang5 Yidong Wang6 Wei Ye⁶ Yue Zhang5 Yi Chang1 Philip S. Yu⁷ Qiang Yang⁸ Xing Xie²

¹ Jilin University, ² Microsoft Research, ³ Institute of Automation, CAS ⁴ Carnegie Mellon University, ⁵ Westlake University, ⁶ Peking University, ⁷ University of Illinois, ⁸ Hong Kong University of Science and Technology (*: Co-first authors, #: Co-corresponding authors)



Transformers in Vision

An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale

ICLR 2021 · Alexey Dosovitskiy, Lucas Beyer, Alexander Kolesnikov, Dirk Weissenborn, Xiaohua Zhai, Thomas Unterthiner, Mostafa Dehghani, Matthias Minderer, Georg Heigold, Sylvain Gelly, Jakob Uszkoreit, Neil Houlsby · © Editacial preview

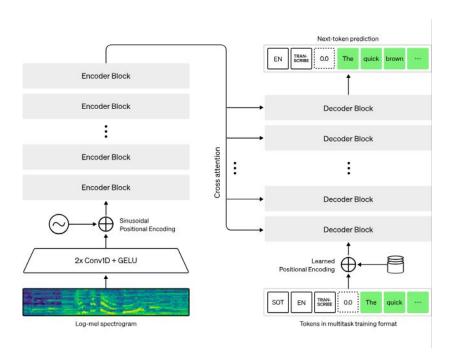
Input image R^{H×W×C}, where H,W,C are height, width, channel



split into square-shaped patches of type $R^{P \times P \times C}$



Transformers in Audio



Conformer: Convolution-augmented Transformer for Speech Recognition

Anmol Gulati, James Qin, Chung-Cheng Chiu, Niki Parmar, Yu Zhang, Jiahui Yu, Wei Han, Shibo Wang, Zhengdong Zhang, Yonghui Wu, Ruoming Pang

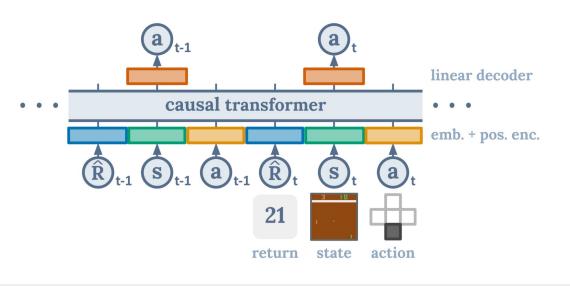
Robust Speech Recognition via Large-Scale Weak Supervision

Alec Radford *1 Jong Wook Kim *1 Tao Xu1 Greg Brockman1 Christine McLeavey 1 Ilya Sutskever 1

Reinforcement Learning

Decision Transformer: Reinforcement Learning via Sequence Modeling

Lili Chen, Kevin Lu, Aravind Rajeswaran, Kimin Lee, Aditya Grover, Michael Laskin, Pieter Abbeel, Aravind Srinivas, Igor Mordatch

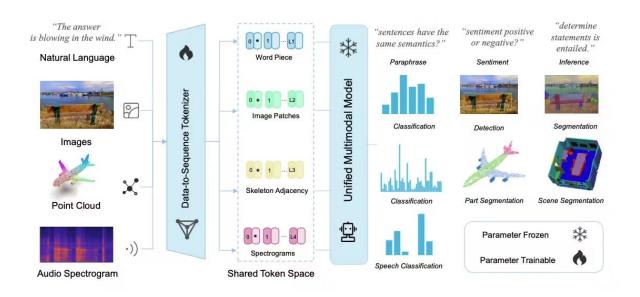


Cast any RL problem as a sequence modeling task

Slide Inspiration: Lucas Beyer

Transformers - Everything everywhere all at once

Anything once tokenized, can be passed through transformers





Transformers - Everything everywhere all at once



Thank you!